

# Results of COVID-19 Vaccine Effectiveness & Impact Studies: An Ongoing Systematic Review

## Methods

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## Methods for Vaccine Effectiveness (VE) and Impact Literature Presented on VIEW-hub and in the Weekly Summary Tables, Visualizations, and Summaries of Policy Gaps

### Literature Search

A search of the grey, preprint, and published literature for COVID-19 Vaccine Effectiveness and Impact studies is conducted daily. See [Appendix](#) for literature search criteria.

### Vaccine Effectiveness Studies

#### *Inclusion Criteria*

Title and abstract review are conducted to identify relevant studies for full-text review. During full-text review, a study must contain at least one vaccine effectiveness estimate that meets all the following criteria to be included. This is done to ensure a baseline level of quality and/or comparability of VE estimates, though this does not imply that all studies are Grade A/have minimal risk of bias nor that all excluded studies are of poor quality.

- Published or preprint studies or reports with adequate scientific details. The information cannot come just from a press release, presentations, nor media.
- VE estimates must have confidence intervals around the estimate, except in those cases where it is unable to be calculated.
- All studies must include persons with and without the clinical outcome under investigation and with and without vaccination. Thus, this excludes case only studies, such as impact studies, or those evaluating risk of progression are excluded
- The study cannot have a modeled comparison group nor compare to a historical cohort.
- Due to the effect of confounders, the study design should account for confounding and/or the VE estimate should be adjusted or state adjustment made no difference.
- All outcomes must be lab confirmed. As COVID-19 does not have a specific syndrome, studies with syndromic outcomes are excluded.
- At least 90% of participants must have a confirmed vaccination status, rather than relying on recall.
- The study must provide a VE estimate for one vaccine, not for multiple vaccines combined. The exceptions are for 1) studies assessing the combined VE of BNT162b2 (Pfizer) and mRNA-1273 (Moderna) vaccines and 2) studies of heterologous schedules but all participants included in a VE estimate should receive the same brands of vaccines in the same order.
- No significant bias that likely affects results
- Cannot include day 0-12 in unvaccinated definition
- Cannot compare to early post vaccination to calculate VE (e.g. day 0-12 vs day 12-21)

A summary table of the main results of studies meeting inclusion criteria can be found on the VIEW-hub Resources page (<https://view-hub.org/resources>).

#### *Additional Inclusion Criteria for Forest Plots*

The VE estimates included in the plots are a large subset of the estimates abstracted from the systematic literature review. A single study can include many VE estimates. In an effort to not overrepresent the amount of evidence that exists for each vaccine, the following criteria are used to determine which VE

estimates are displayed in the summary forest plots located on the VIEW-hub resources page (<https://view-hub.org/resources>). There are some instances when more than one estimate from a study will be displayed in the same plot (e.g. a study includes VE estimates from two distinct populations or estimates for different variants). Reference numbers are included for each VE estimate displayed so users can identify when a study is represented more than once within a plot. More information on each reference can be found in the weekly literature review summary table located on VIEW-HUB (<https://view-hub.org/resources>).

- Complete vaccination is defined as  $\geq 7$  days post final dose; partial vaccination is defined as  $\geq 14$  days post first dose of a 2-dose vaccine.
- If a study reports results for same outcome for both combined and individual vaccines, only individual vaccine VE estimates are displayed. This criterion only applies to studies evaluating VE of BNT162b2 (Pfizer) mRNA-1273 (Moderna) vaccines.
- If a study reports results from 2 different evaluation designs (e.g. test-negative design and cohort design) on the same population, VE estimates from the primary analysis only are displayed.
- If a study reports overall VE as well as variant-specific VE estimates for the same disease outcome, overall VE estimates are included in the 'By Vaccine' and 'By Disease Outcome' plots. However, if a study reports only variant-specific VE estimates, then all variant VE estimates are displayed and labeled. For the 'By Variant' plots, variant-specific estimates are included from all studies in which they are available. Note that studies may be conducted in the context of circulating variants, however, if variant-specific estimates (i.e. VE is stratified by variant) are not provided, estimates are labeled as 'overall' VE estimates, unless otherwise noted.
- If a study reports VE estimates for the same disease outcome for different populations, the general population VE estimate is displayed when available. If a general population estimate is not available, the VE from each population is displayed (exception is if there are estimates for similar age groups in which case the more stable VE estimate will be displayed).
- If a study reports VE estimates on more than one 'severe' disease outcome (e.g. 'severe disease', 'hospitalization', and 'ICU admission'), the more inclusive disease outcome including a larger population is displayed. These different types of severe outcomes are labeled as 'severe disease' in the plots, however it is important to keep in mind that the definition of severe disease varies and may explain some differences in VE estimates for severe disease outcomes.

## Vaccine Impact Studies

Methods are being established for inclusion of COVID-19 vaccine impact studies. More details are forthcoming.

## Planned and Ongoing Studies presented on VIEW-hub and summaries of policy gaps

In order to gather information on planned and ongoing studies, a survey was shared with persons conducting and/or funding studies. Data was requested specifically on studies that have completed protocol development to help obtain higher quality data as studies that are still in protocol development are subject to more changes. This data has been compiled by WHO and some key information and summaries of what is planned/ongoing are provided on View Hub and WHO's website. If you are planning or have an ongoing study and have not provided information to WHO, please email [covidve@who.int](mailto:covidve@who.int).

## Appendix: Literature Search Terms

PubMed:

("COVID-19"[tw] OR "COVID 19"[tw] OR "COVID19"[tw] OR "COVID2019"[tw] OR "COVID 2019"[tw] OR "COVID-2019"[tw] OR "novel coronavirus"[tw] OR "new coronavirus"[tw] OR "novel corona virus"[tw] OR "new corona virus"[tw] OR "SARS-CoV-2"[tw] OR "SARSCoV2"[tw] OR "SARS-CoV2"[tw] OR "2019nCoV"[tw] OR "2019-nCoV"[tw] OR "2019 coronavirus"[tw] OR "2019 corona virus"[tw] OR "coronavirus disease 2019"[tw] OR "severe acute respiratory syndrome coronavirus 2"[nm] OR "severe acute respiratory syndrome coronavirus 2"[tw] OR "sars-coronavirus-2"[tw] OR "coronavirus disease 2019"[tw] OR "corona virus disease 2019"[tw])

AND

("COVID-19 Vaccines"[Mesh] OR "COVID-19 vaccine"[tiab] OR "mRNA-1273 vaccine" [Supplementary Concept] OR "mRNA-1273 vaccine"[tiab] OR "mRNA vaccine"[tiab] OR "mRNA COVID-19 vaccines"[tiab] OR "ChAdOx1 COVID-19 vaccine" [Supplementary Concept] OR "Ad5-nCoV vaccine" [Supplementary Concept] OR "Ad5-nCoV"[tiab] OR "Covid-19 aAPC vaccine" [Supplementary Concept] OR "Ad26.COVS vaccine" [Supplementary Concept] OR "Ad26.COVS vaccine"[tiab] OR "adenoviral vector vaccine"[tiab] OR "BNT162 vaccine" [Supplementary Concept] OR "BNT162b2"[tiab] OR "BNT162"[tiab] OR "CoronaVac" [tiab] OR "vaccin\*"[tiab])

AND

("Clinical Trial, Phase IV" [Publication Type] OR "Controlled Clinical Trial" [Publication Type] OR "Randomized Controlled Trial" [Publication Type] OR "Case-Control Studies"[Mesh] OR "Retrospective Studies"[Mesh] OR "Retrospective"[tiab] OR "Cohort Studies"[Mesh] OR "Prospective Studies"[Mesh] OR "Prospective"[tiab] OR "Longitudinal Studies"[Mesh] OR "Follow-Up Studies"[Mesh] OR "Follow-up studies"[tiab] OR "cohort"[tiab] OR "test negative"[tiab] OR "Observational cohort"[tiab] OR "Test-negative design"[tiab] OR "RCT"[tiab] OR "randomized"[tiab] OR "randomised"[tiab] OR "randomly allocated"[tiab] OR "case-control"[tiab] OR "real-world effectiveness"[tiab] OR "effectiveness"[tiab] OR "association"[tiab] OR "impact"[tiab] OR "vaccine impact"[tiab]) NOT ("Clinical Trial, Phase I" [Publication Type] OR "Clinical Trial, Phase II" [Publication Type]) NOT ("animals"[mesh] NOT ("animals"[mesh] AND "humans"[mesh]))

Embase:

('COVID-19' OR 'COVID 19' OR 'COVID19' OR 'COVID2019' OR 'COVID 2019' OR 'COVID-2019' OR 'novel coronavirus' OR 'new coronavirus' OR 'novel corona virus' OR 'new corona virus' OR 'SARS-CoV-2' OR 'SARSCoV2' OR 'SARS-CoV2' OR '2019nCoV' OR '2019-nCoV' OR '2019 coronavirus' OR '2019 corona virus' OR 'coronavirus disease 2019' OR 'severe acute respiratory syndrome coronavirus 2'/exp OR 'severe acute respiratory syndrome coronavirus 2' OR 'sars-coronavirus-2' OR 'coronavirus disease 2019'/exp OR 'coronavirus disease 2019' OR 'corona virus disease 2019')

AND

('SARS-CoV-2 vaccine'/exp OR 'COVID-19 vaccine':ti,ab OR 'mRNA-1273 vaccine'/exp OR 'mRNA-1273 vaccine':ti,ab OR 'mRNA vaccine':ti,ab OR 'mRNA COVID-19 vaccines':ti,ab OR 'ChAdOx1 nCoV 19'/exp OR 'Ad5 nCoV vaccine'/exp OR 'Ad5-nCoV':ti,ab OR 'Covid-19 aAPC vaccine':ti,ab OR 'Ad26.COVS vaccine'/exp OR 'Ad26.COVS vaccine':ti,ab OR 'adenoviral vector vaccine':ti,ab OR 'BNT 162 vaccine'/exp OR 'BNT162b2':ti,ab OR 'BNT162':ti,ab OR 'CoronaVac'/exp OR 'coronavac':ti,ab OR 'vaccin\*':ti,ab)

AND

('phase 4 clinical trial'/exp OR 'Controlled Clinical Trial'/exp OR 'Randomized Controlled Trial'/exp OR 'Case Control Study'/exp OR 'Retrospective Study'/exp OR 'Retrospective':ti,ab OR 'Cohort analysis'/exp OR 'Prospective Study'/exp OR 'Prospective':ti,ab OR 'Longitudinal Study'/exp OR 'Follow Up'/exp OR 'Follow-up study':ti,ab OR 'cohort':ti,ab OR 'test negative':ti,ab OR 'Observational cohort':ti,ab OR 'postmarketing surveillance'/exp OR 'postmarketing surveillance':ti,ab OR 'Test-negative design':ti,ab OR 'RCT':ti,ab OR 'randomized':ti,ab OR 'randomised':ti,ab OR 'randomly allocated':ti,ab OR 'case-control':ti,ab OR 'real-world effectiveness':ti,ab OR 'effectiveness':ti,ab OR 'association':ti,ab) NOT ('phase 1 clinical trial'/exp OR 'phase 2 clinical trial'/exp)

NOT ('animal'/exp NOT ('animal'/exp AND 'human'/exp))

NOT 'conference abstract'/it

WHO COVID database:

("COVID-19 Vaccines" OR "COVID-19 vaccine" OR "mRNA-1273 vaccine" OR "mRNA vaccine" OR "mRNA COVID-19 vaccines" OR "ChAdOx1 COVID-19 vaccine" OR "Ad5-nCoV" OR "Covid-19 aAPC vaccine" OR "Ad26.COVS vaccine" OR "adenoviral vector vaccine" OR "BNT162b2" OR "BNT162" OR "CoronaVac" OR vaccin\*)

AND

("Phase IV" OR "Controlled Clinical Trial" OR "Randomized Controlled Trial" OR "Case-Control Studies" OR "Retrospective" OR "Cohort Studies" OR "Prospective" OR "Longitudinal Studies" OR "Follow-Up Studies" OR "Follow-up study" OR "cohort" OR "test negative" OR "Observational cohort" OR "Test-negative design" OR "RCT" OR "randomized" OR "randomised" OR "randomly allocated" OR "case-control" OR "real-world effectiveness" OR "effectiveness" OR "association") AND NOT ("Phase I" OR "Phase II")